

NOTICES OF RULEMAKING DOCKET OPENING

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening whenever an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

NOTICE OF RULEMAKING DOCKET OPENING

OFFICE OF THE SECRETARY OF STATE

- 1. Title and its heading:** 2, Administration
Chapter and its heading: 12, Office of the Secretary of State
Articles and their heading: 10, Campaign Contributions and Expenses; Standing Political Committees
Section numbers: R2-12-1001
- 2. The subject matter of the proposed rule:**
The proposed rule establishes an annual \$250 fee that will be paid by Standing Political Committees (as defined in A.R.S. § 16-901(23)) with the Secretary of State, as authorized by A.R.S. § 16-902.01(E).
- 3. A citation to all published notices relating to the proceeding:**
None published
- 4. The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Richard Slaughenhoupt
Campaign Finance Training and Enforcement Supervisor

Address: Office of the Secretary of State
1700 W. Washington, 7th Floor
Phoenix, Arizona 85007

Telephone: (602) 542-6169
Fax: (602) 542-6172
E-mail: rslaughenhoupt@mail.sosaz.com
- 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**
The public comment period will commence on May 12, 2000 and will conclude on July 12, 2000 at 5:00 p.m. A public hearing has not been scheduled. Proceedings will be scheduled if five or more individuals request them by sending a written request to Richard Slaughenhoupt at the above address before 5:00 p.m. on Wednesday, June 26, 2000.
- 6. A timetable for agency decisions or other action on the proceeding, if known:**
The final rule is to be in effect to permit prospective Standing Political Committees to be able to file campaign finance reports for the Pre-Primary Election Period (due to be filed no later than August 31, 2000 with the Secretary of State).

NOTICE OF RULEMAKING DOCKET OPENING

CORPORATION COMMISSION - SECURITIES

- 1. Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation
Chapter and its heading: 4, Securities
Articles and their headings: 2, Canadian Dealers and Salesmen
Section numbers: R14-4-201 through R14-4-209
- 2. The subject matter of the proposed rule:**
Proposed R14-2-201 through R14-4-209 provide for a limited registration for Canadian dealers and their salesmen to operate in Arizona under specific and limited circumstances. The rules detail registration prerequisites, filing requirements, limitations on authorized activity, maintenance of registration, and provisions for denial and revocation of the limited registration. The content of the Article is patterned after Section 201 of the Uniform Securities

Arizona Administrative Register
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Act as adopted by the North American Securities Administrator's Association membership in 1995. Additional Sections may be added, deleted, or modified.

Agency docket number: Docket Number RS-00000A-99-0691

3. A citation to all published notices relating to the proceeding:

None

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Sharleen A. Day, Associate General Counsel
Address: Arizona Corporation Commission, Securities Division
1300 W. Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602) 542-4242
Fax: (602) 594-7471

5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

Monday through Friday, 8:00 a.m. to 5:00 p.m.

6. A timetable for agency decisions or other action on the proceeding, if known:

Monday through Friday, 8:00 a.m. to 5:00 p.m. at the Securities Division as noted in Question 4. No hearing date has been set.